



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/615,677	07/14/2000	Moises Goldszmidt	22436-708

021971

WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050



## FORMALITIES LETTER



\*OC000000005433707\*

Date Mailed: 09/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09615677

232415

11/09/2000 AMONDAF1 00000107

355.00 CH  
65.00 CH01 FC:201  
02 FC:205

9/27/00

Sector  
PATENT  
3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Goldszmidt

Serial No.: 09/615,677

Filed: 07/14/2000

For: METHODS AND APPARATUS TO CONTROL DELIVERY OF A

HOST INFORMATION SYSTEMS SERVICE LEVELS AND RESPONSE TIMES

NOV 06 2000

Commissioner for Patents  
Washington, D.C. 20231COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 09/28/2000. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## SMALL ENTITY STATUS

- IV. A statement that this filing is by a small entity is attached.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
3. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
<b>Total completion fees</b>	<b>\$ 460.00</b>

## PRELIMINARY AMENDMENT

- V. Applicant submits herewith a Preliminary Amendment.

## REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant's addresses	- Moises Goldszmidt, Foster City, CA; Bikash Sabata, Menlo Park, CA; Derek Palma, Union City, CA; Amitava Raha, San Jose, CA
2. Continuing Data as Claimed	- This application is a CIP of 09/615,886, filed 07/14/2000; 09/615,678, filed 07/14/2000; 09/615,887, filed 07/14/2000; 09/616,384, filed 07/14/2000; 09/616,488, filed 07/14/2000; 09/615,962, filed 07/14/2000; 09/615,892, filed 07/14/2000; and 09/616,096, filed 07/14/2000 --
3. Entity status	- small entity

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

## TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$460.00 Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$485.00

## PAYMENT OF FEES

- VIII. Charge Account No. 23-2415(22436-708) in the amount of \$485.00.  
Please charge Account No. 23-2415 (22436-708) for any fees that may be due by this paper.

Paul Davis, Reg. No. 29,294

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, Washington,  
D.C. 20231.

2000  
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Date

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OCT 10 2000



PERKSTONE  
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Page 1 of 3 PD



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/615,677	07/14/2000	2756	0	22436-708	10	16	2

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

FILING RECEIPT



\*OC000000005433706\*

Date Mailed: 09/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Moises Goldszmidt, [Residence Not Provided] -- Foster City, CA --  
Bikash Sabata, [Residence Not Provided] -- Menlo Park, CA --  
Derek Palma, [Residence Not Provided] -- Union City, CA --  
Amitava Raha, [Residence Not Provided] -- San Jose, CA --

Continuing Data as Claimed by Applicant - This app is a CIP of

Foreign Applications	09/615,886 07/14/2000	09/615,887 7/14/2000
	09/615,678 07/14/2000	09/616,384 7/14/2000
	09/616,488 7/14/2000	09/615,962 7/14/2000
If Required, Foreign Filing License Granted	09/25/2000	
	09/615,892 7/14/2000	09/616,096 7/14/2000 - -

Title

Methods and apparatus to control delivery of a host information systems service levels and response times

Preliminary Class

709

-- Small entity --

Data entry by : FESSEHAYE, ASTER

Team : OIPE

Date: 09/28/2000

